EXPERIMENTAL EVIDENCES UNEQUIVOCALLY PROVE THE ROLE OF STEREOELECTRONICS AS ONE OF THE MAJOR FORCES RESPONSIBLE FOR THE SELF-ASSEMBLY OF DNA AND RNA

Parag Acharya, Johan Issakson, P. I. Pradeepkumar and Jyoti Chattopadhyaya*

Department of Bioorganic Chemistry, Box 581, Biomedical Centre, University of Uppsala, S-751 23 Uppsala, Sweden; e-mail: jyoti@boc.uu.se

Three independent sets of experimental evidences are presented here that unequivocally prove the importance of stereoelectronics as one of the major forces, along with stacking, hydrogen-bonding and hydration, in dictating the self-assembly of nucleic acids: (1) experimentally obtained thermodynamics of conformational transmission in nucleosides and mononucleotides clearly show the conformational transmission across the nucleotidyl wire (i.e. from aglycone to sugar to phosphate) is responsible for modulation of the sugar-phosphate backbone conformation as a result of change of aromatic character of the aglycone in a pH dependent manner, (2) single-atom mutation of the endocyclic sugar-oxygen to a methylene group (i.e. substitution of 2′-deoxyadenosine moiety to a carbocyclic 2′-deoxyaristeromycin in a self-complementary 12-mer DNA) alters the Watson–Crick paired duplex structure to a dynamic equilibrium of Hoogsteen and Watson–Crick paired duplexes in ca 1:1 ratio, (3) introduction of the North or North-East constrained nucleotides in the antisense strand in the corresponding antisense-RNA hybrid duplex forces a certain stretch of antisense/RNA hybrid duplex to take up a local pseudo RNA/RNA type structure as a result of the conformational transmission from the constrained residue, which is evidenced from the alteration of the RNase H recognition and cleavage properties.

SELF-ASSEMBLY OF DNA AND RNA

The cooperative interplay of pentofuranose, nucleobase and phosphodiester moieties dictates the intrinsic dynamics and architectural flexibility of nucleic acids resulting into its specific function. The stability of the folded structure of nucleic acid leading to its self-assembly and recognition is attributed to the interplay of various forces such as (i) intermolecular hydrogen bonding between complementary nucleobases; (ii) intramolecular base-base stacking interactions; (iii) electrostatic interactions and hydration. However, recent studies have unequivocally demonstrated the importance of stereoelectronic forces, besides the above mentioned forces, in the self-organization process of nucleic acids vis-à-vis their biological functions. The physico-chemical roles of the aglycone, sugar and phosphate, depending upon the local microenvironment, dictates the stereoelectronic forces thereby affecting the function as well as the self-assembly of nucleic acid.

We will present here three independent sets of experimental evidences that unequivocally show the importance of stereoelectronics: (1) experimental thermodynamics of conformational transmission in nucleosides and mononucleotides; (2) single-atom mutation of the endocyclic sugar-oxygen to a methylene group (i.e. 2'-deoxyadenosine moiety to a carbocyclic 2'-deoxyaristeromycin in a self-complementary 12-mer DNA) alters the DNA polymorphism; (3) introduction of the N- or N/E-constrained nucleotides in the antisense strand in the corresponding antisense-RNA hybrid duplex alters the RNase H recognition and cleavage properties.

1. Experimentally Obtained Thermodynamics of Conformational Transmission in Nucleosides and Mononucleotides

(A) Stereoelectronic Forces - Anomeric and gauche Effects

The dynamic interplay of various stereoelectronic gauche and anomeric effects, and associated steric effects energetically drives the two-state pseudorotational equilibrium between north-type (N, C2'-exo-C3'-endo) and south-type (S, C2'-endo-C3'-exo) of the pentofuranose moiety [N ⇔ S, Fig. 1], which in turn is dictated by the electronic nature of the aglycone and other substituents on the sugar ring. The

![Schematic representation of the dynamic two-state North (N) <-> South (S) pseudorotational equilibrium (P = phase angle and $\Psi_m = $ puckering amplitude) of the constituent $\beta$-o-pentofuranose moiety in guanosine 3',5'-bisphosphate [EtpGpMe (1)], adenosine 3',5'-bisphosphate [EtpApMe (2)] and cytidine 3',5'-bisphosphate [EtpCpMe (3)], as well as their apurinic counterpart [Etp(AP)pMe (4)].](image)

aglycone promoted anomeric effect (AE) involving O4′ of the pentofuranose [i.e. O4′-C1′-N1/9 atoms, leading to nO4′ (σ*C1′-N1/9 orbital mixing2a-e,n,o,3a,4] drives the sugar conformation towards the N-type pseudorotamers. The 3′-substituent X (where X = OH for natural in β-d-nucleotides and X = OPO3H for β-d-nucleotides) promoted gauche effect (GE) involving O4′-C4′-X3′ atoms [leading to σ*C3′-H3′ σ*C4′-O4′ orbital mixing2g,h,n,o,5a] steers the sugar conformation towards the S-type geometry2g,h,n–p,3a. However, 2′-substituent Y (where Y = OH for natural RNA) has GE involving both O4′ of the pentofuranose [i.e. O4′-C1′-C2′-Y2′ leading to σ*C2′-H2′ σ*C1′-O4′ orbital mixing2d,g,h,n,o,3a] and N9/1 of aglycone [i.e. N9/1-C1′-C2′-Y2′ leading to σ*C2′-H2′ σ*C1′-N9/1 orbital mixing2d,g,h,n,o,3a]. It has been found that GE(O4′-C4′-C2′-Y2′) and GE(N9/1-C4′-C2′-Y2′) are counteractive; the former drives the pseudorotational equilibrium of the sugar moiety toward N-type conformation, whereas the later steers it towards S-type conformation. So the preferred sugar vis-à-vis backbone conformation of nucleic acids depends on the culmination of the mutual interplay of substituent dependent anomeric and gauche effects 2,3. Figure 2 thus shows the quantitation of gauche and anomeric effects in nucleosides, nucleotides and abasic sugars through pairwise comparisons3 of ΔH0 of their two-state N S pseudorotational equilibria at neutral pH.

(B) Tunable Anomeric Effect

The overall effect of nucleobase is dictated by the steric and stereoelectronic nature of nucleobase3a as well as the sugar substituents2e,3a. The pairwise comparison (Fig. 2) in 2′,3′-dideoxynucleosides (β-d-dN, ΔΔH 20), 2′-deoxynucleosides (β-d-dN, ΔΔH 30) and ribonucleosides (β-d-rN, ΔΔH 40) shows that pyrimidine aglycone has larger AE at neutral state than purinic counterpart [i.e. guanin-9-yl < adenin-9-yl < thymin-1-yl = uracil-1-yl < cytosine-1-yl]. Nucleobase modifications have been used extensively to search for anti-viral and anti-tumor active compounds. The strengthening of AE in 8-aza-3-deazaguanine stabilizes N-type conformation by 3.1 kJ mol−1 (at neutral pH) compared to guanine due to the redistribution of electron-density from N9 into the pyridine moiety8c. Higher electron-attracting substitution at 7- and 8-position of 7-deaza-2′-deoxynucleosides8d steers the sugar conformation more towards N-type pseudorotamers.

Medium-dependent change of electronic character of nucleobase2g,n,o,3a such as protonation and/or deprotonation changes the strength of the anomeric effect. Lower electron density at N9/1 of protonated nucleobase enhances nO4′ σ*C1′-N1/9 orbital interaction, thereby increasing AE, which is manifested with increased preference for the N-type sugar conformation, whereas the reverse is true for deprotonation. Thus the ΔG0 of N ↔ S equi-
librium at different pH for nucleoside 3′-ethylphosphates (NpEt)₂⁻ are as follows: ApEt (−3.2 kJ mol⁻¹ at pH 6.6, −1.3 kJ mol⁻¹ at pH 1.9, i.e. tunability [ΔΔG₀⁰(pH 1.9–6.6)] = 1.3 kJ mol⁻¹ for N-type conformation), GpEt (−2.6 kJ mol⁻¹ at pH 6.6, 0.0 kJ mol⁻¹ at pH 1.9, −4.4 kJ mol⁻¹ at pH 10.3, i.e. tunability [ΔΔG₀⁰(pH 1.9–6.6)] = 2.6 kJ mol⁻¹ for N-type conformation; tunability [ΔΔG₀⁰(pH 10.3–6.6)] = −1.8 kJ mol⁻¹ for S-type conformation), UpEt (−0.8 kJ mol⁻¹ at pH 6.6, −1.2 kJ mol⁻¹ at pH 10.3, i.e. tunability (ΔΔG₀⁰(pH 10.3–6.6) = −0.4 kJ mol⁻¹

**FIG. 2**
The quantitation of gauche and anomeric effects in nucleosides and abasic sugars through pairwise comparisons of ΔH₀ of their N→S pseudorotational equilibria at Neutral pH
for S-type conformation), CpEt (–0.2 kJ mol\(^{-1}\) at pH 6.6, 0.6 kJ mol\(^{-1}\) at pH 1.9, i.e. tunability \([\Delta \Delta G^0_{\text{P}\text{H}1.9-6.6}] = 0.8\) kJ mol\(^{-1}\) for N-type conformation).

For comparison, note the tunability \([\Delta \Delta G^0_{\text{P}\text{H}1.9-6.6}]\) in the corresponding nucleosides (N): A (–1.8 kJ mol\(^{-1}\) at pH 6.5, –0.5 kJ mol\(^{-1}\) at pH 1.2, i.e. tunability \([\Delta \Delta G^0_{\text{P}\text{H}1.2-6.5}] = 1.3\) kJ mol\(^{-1}\) for N-type conformation), G (–1.5 kJ mol\(^{-1}\) at pH 6.4, 1.5 kJ mol\(^{-1}\) at pH 0.6, –2.8 kJ mol\(^{-1}\) at pH 10.9, i.e. tunability \([\Delta \Delta G^0_{\text{P}\text{H}10.9-6.4}] = –1.3\) kJ mol\(^{-1}\) for S-type conformation), U (–0.3 kJ mol\(^{-1}\) at pH 7.3, –0.1 kJ mol\(^{-1}\) at pH 11.5, i.e. tunability \([\Delta \Delta G^0_{\text{P}\text{H}11.5-7.3}] = –0.2\) kJ mol\(^{-1}\) for S-type conformation), CpEt (1.5 kJ mol\(^{-1}\) at pH 6.6, 1.9 kJ mol\(^{-1}\) at pH 1.2, i.e. tunability \([\Delta \Delta G^0_{\text{P}\text{H}1.2-6.6}] = 0.4\) kJ mol\(^{-1}\) for N-type conformation).

Thus the comparison of \(\Delta \Delta G^0\) of NpEt and N clearly shows that the electronegativity of 3\(^{\prime}\)-OH and 3\(^{\prime}\)-phosphate are quite comparable (see below).

pH dependent \(^1H\) NMR studies with carbocyclic nucleosides\(^{2m}\) such as aristeromycin (chemical modification of O4\(^{\prime}\) with CH\(_2\)), in comparison with its natural analog, adenosine, showed that electronic nature of aglycone had no effect on the drive of constituent cyclopentane conformation, thereby establishing the role of AE in the drive of the sugar conformation in N- and C-nucleosides. The S-C-N anomeric effect in 4\(^{\prime}\)-thio-2\(^{\prime}\)-deoxy-nuclosides\(^{8b}\) increases in the following order (\(\Delta H^0\) in kJ mol\(^{-1}\)): thymine (–2.3 at pH 11.9, –2.7 at pH 6.7) < cytosine (–1.6 at pH 2.0, –1.4 at pH 6.5) < guanine (1.1 at pH 0.7, –0.7 at pH 6.7, –0.4 at pH 11.8) < adenosine (0.0 at pH 1.8, 0.8 at pH 6.8) [note: this is an opposite order to those of natural nucleosides] and is weaker than the O-C-N anomeric effect\(^{2}\) in its natural counterpart (4\(^{\prime}\)-oxonucleosides) at neutral state (\(\Delta H^0\) in kJ mol\(^{-1}\)): \(\beta\)-d-T (–2.0 at pH 11.2, –1.6 at pH 7.5) = \(\beta\)-d-dC (0.1 at pH 1.3, –0.8 at pH 6.4) > \(\beta\)-d-dG (1.8 at pH 1.0, –2.4 at pH 6.2 and –5.0 at pH 10.9) > \(\beta\)-d-dA (–3.8 at pH 6.1, –1.1 at pH 1.5).

pH-dependent conformational analyses by \(^1H\) NMR of C-nucleosides\(^{2k,3a}\) showed the anomeric tunability [in terms of \(\Delta \Delta G^0_{\text{P}\text{N}}\) and \(\Delta \Delta G^0_{\text{D}\text{N}}\) (in kJ mol\(^{-1}\)], where \(\Delta \Delta G^0_{\text{P}\text{N}}\) and \(\Delta \Delta G^0_{\text{D}\text{N}}\) represent the additional stabilization of N-type sugars in the protonated (P) state compared to in the neutral (N) state, and that of S-type sugars in the deprotonated (D) state compared to the N state, respectively] by virtue of the participation of nO4\(^{\prime}\)→σ\(^*\)C1\(^{\prime}\)–C5(sp2) anomeric interactions in C-aglycone to drive its N ↔ S equilibrium. The comparison of this anomeric tunability of C-nucleosides with that of N-nucleosides show that the anomeric tunability is more pronounced in pyrimidine C-nucleosides [\(\Psi\)-isocytidine (\(\Delta \Delta G^0_{\text{P}\text{N}} = 1.9\) kJ mol\(^{-1}\) and \(\Delta \Delta G^0_{\text{D}\text{N}} = –1.6\) kJ mol\(^{-1}\)), \(\Psi\)-uridine (\(\Delta \Delta G^0_{\text{D}\text{N}} = –0.8\) kJ mol\(^{-1}\))].
than that of pyrimidine N-nucleosides [β-D-C (Δ∆G_N^P,D: 0.4 kJ mol⁻¹); β-D-U (Δ∆G_N^P,D: -0.2 kJ mol⁻¹)], whereas there is no significant difference between purine C-nucleosides [9-deaza-adenosine (Δ∆G_N^P,N: 1.4 kJ mol⁻¹); formycin A (Δ∆G_N^P,N: 2.0 kJ mol⁻¹); formycin B (Δ∆G_N^P,N: -0.2 kJ mol⁻¹)] and purine N-nucleosides [β-D-A (Δ∆G_N^P,N: 3.0 kJ mol⁻¹); β-D-G (Δ∆G_N^P,N: 3.0 kJ mol⁻¹ and Δ∆G_N^D,N: -1.3 kJ mol⁻¹)].

(C) Tunable gauche Effect

Conformational analyses with of 2′-deoxy-2′-substituted uridine7c and adenosine7d derivatives showed qualitatively that the population of N-type pseudorotamers linearly increases with increasing electronegativity of the 2′-substituent as a result of the enhanced 2′-GE. 2′-Thionucleosides7d,3a prefer more S-type geometries than in 2′-deoxynucleosides. Similarly, the two-state N ⇔ S equilibrium in 2′-methylthionucleosides7f is strongly (>70%) biased toward S-type conformations in CD3OD, and the effect of 2′-SMe has been attributed both to its reduced electronegativity (i.e. resulting in weaker GE[S2′–C2′–C1′–O4′] and GE[S2′–C2′–C1′–N1/9]) and increased steric bulk (resulting in the destabilization of N-type pseudorotamers).

Similarly electronegative nature of 3′-substituent (X) dictates 3′-GE[X3′–C3′–C4′–O4′]2d,3a. The population of S-type pseudorotamers in 3′-deoxy-3′-substituted arabinofuranosyladenine3g is also dictated by the tuning of the 3′-GE, which is in turn controlled by the electronegativity of the 3′-substituent (X). The temperature-dependent pseudorotational analyses of 3′-substituted (X)β-D-ddN2d [where X = NH₂, OH, OMe, NO₂, OPO₃H⁻ and F] and subsequent quantitative estimation of thermodynamics of 3′GE (ΔH^0 GE, in kJ mol⁻¹)2d showed the increasing preference for gauche orientation within [X3′–C3′–C4′–O4′] fragment [NH₂: -2.8 < OH: -7.2 < OMe: -7.5 < NO₂: -7.8 < OPO₃H⁻: -8.0 < F: -11.3] with increasing electronic nature of 3′-substituents in terms of respective Mullay’s group electronegativity values (shown in parenthesis): X = NH₂ (3.15) < OH (3.97) < OMe (4.03) < NO₂ (4.08) < OPO₃H⁻ (4.12) < F (4.73).

(D) Competing Anomeric and gauche Effects

Sugar substitution dictates the AE (e.g. the interplay between AE and GE in β-D-dN, see Fig. 3) as the participation of stronger 3′GE causes weaker AE in β-D-dN compared to β-D-ddN and β-D-rN (Fig. 2). The GE of highly electronegative fluorine substituent, has a profound stereoelectronic effect, thereby it governs the overall conformation of the sugar ring3a,9. The sugar moieties in 2′-α-fluoro-2′,3′-β-D-dideoxyuridine and 3′-β-fluoro-2′,3′-β-D-dideoxyuridine adopt exclusively N-type conformations3a, owing to the cooperative drive of the GE[F2′(α)–C2′–C1′–O4′] and GE[F3′(β)–C3′–C4′–O4′], respectively, with the AE[O4′–C1′–N1/9].
In contrast, as a result of configuration-dependent GE, the two-state N ⇔ S pseudorotational equilibrium in 2′-β-fluoro-2′,3′-β-D-dideoxyuridine and 3′-α-fluoro-2′,3′-β-D-dideoxyuridine are strongly biased to the S-type conformers because of the predominance of the [F2′(β)-C2′-C1′-O4′] and [F3′(α)-C3′-C4′-O4′] gauche effects, respectively, over the AE. Recent studies have shown that incorporation of 2′-deoxy-2′-fluoro-β-D-nucleoside having preferred O4′-endo sugar conformation in a DNA/RNA hybrids duplex invoke improved nuclease resistance and RNase H digestion of DNA/RNA hybrid duplex, indicating the importance of 2′GE directed preferred sugar N-type conformation in duplex stability as well as RNase H activation.

In β-D-rN, the sugar conformation is driven by the interplay of the following stereoelectronic gauche effects besides the anomeric effect:

\[ \sigma_{C3H3} \sigma^*_{C4O4} \]

\[ \sigma_{C3H3} \sigma^*_{C1N9} \]

**Fig. 3**

The interplay of the AE and the GE[HO3′-C3′-C4′-O4′] in β-D-nDs to drive the N ⇔ S equilibrium. (A) \( \sigma_{C1N9} \) orbital overlap (i.e. AE) favours N-type conformation, whereas \( \sigma_{C3H3} \sigma^*_{C4O4} \) interactions (i.e. 3′GE) stabilize S-type conformations. (B) The energy difference between \( \sigma_{C3H3} \) and \( \sigma^*_{C4O4} \) (\( \Delta E_1 \)) is smaller (hence a better orbitals overlap) than that between \( \sigma_{C1N9} \) and \( \sigma^*_{C1N9} \) (\( \Delta E_2 \)), therefore the GE is more efficient than the AE in β-D-N. The stereoelectronic contribution of 5′-CH₂OH has not been taken into consideration as it has negligible contribution in the drive of the sugar conformation.
(i) \( \text{GE}[O_4'-C_2'-C_1'-O_2'] \), (ii) \( \text{GE}[O_4'-C_3'-C_4'-O_3'] \) and (iii) \( \text{GE}[O_2'-C_2'-C_1'-N_1/9] \). However, \( \text{GE}[O_4'-C_4'-C_3'-O_3'] \) and \( \text{GE}[O_4'-C_1'-C_2'-O_2'] \) partially cancel each other. Additionally, the \( \text{GE}[O_2'-C_2'-C_1'-N_1/9] \) involving \( \sigma_{C_2'-H_2} \) orbital mixing drives the pseudorotational equilibrium of the sugar moiety in \( \beta \)-ribo nucleos(t)ides toward S-type pseudorotamers. The strength of \( \text{GE}[O_2'-C_2'-C_1'-N_1/9] \) is in the order of adenine (-7.9 kJ mol\(^{-1}\)) > guanine (-6.7 kJ mol\(^{-1}\)) > cytidine (-2.5 kJ mol\(^{-1}\)).

Moreover, the ability of the effect of 2'-OH to counteract the \( \text{GE}[O_3'-C_3'-C_4'-O_4'] \) by stabilizing N-type conformations increases in the order: 3'-deoxyadenosine < adenosine < adenosine-3'-monophosphate which can be attributed to the more efficient \( \text{GE}[O_2'-C_2'-C_1'-O_2'] \), or to the weakening of the \( \text{GE}[O_2'-C_2'-C_1'-N_9] \). However, the \( \text{GE}[O_4'-C_4'-C_3'-O_3'PO_3H^-] \) is found to be less efficient by -1.4 kJ mol\(^{-1}\) in adenosine-3'-ethylphosphate than in 2'deoxyadenosine-3'-ethylphosphate (Fig. 6), thereby showing the stereoelectronic modulation of 2'-OH in the former besides its intra- and intermolecular hydrogen bonding capability.

(E) Recognition of Pentose-Sugar Conformation by Various Enzymes
Methanocarba nucleosides having a rigid bicyclo[3.1.0]-hexane template have been instrumental in defining the role of sugar pucker by stabilizing biologically preferred sugar conformation [e.g. \( \text{N}-(+)-\text{methanocarba-G} \) and \( \text{N}-(--)-\text{methanocarba-A} \) are preferentially recognized as substrate of adenosine deaminase]. It has also been shown that at recombinant human P2Y\(_1\) and P2Y\(_2\) receptors, \( \text{N}-\text{methanocarba-ATP} \) with N-type sugar conformation, was 138- and 41-fold more potent than racemic \( \text{S}-\text{methanocarba-ATP} \) with S-type sugar conformation, as antagonists.

Recent NMR studies with \(^{13}\)C/\(^{2}\)H double-labelled 2'-deoxyadenosine (dAdo) and the corresponding 2'-deoxycytidine (dCyd) moieties in the complexes with human recombinant deoxycytidine kinase (dCK) showed that the ligand (i.e. dCyd and dAdo) adopts an S-type sugar conformation when bound to dCK endowing the importance of stereoelectronic force dependent sugar conformation in forming kinetically favored enzyme complex.

(F) RNA Molecular Wire
The 3'-ethylphosphate, 5'-methylphosphate derivatives of guanosine (EtpGpMe, \( \text{1} \)), adenosine (EtpApMe, \( \text{2} \)) and cytosine (EtpCpMe, \( \text{3} \)) in conjunction with their abasic (Ab) counterpart [Etp(Ab)Me, \( \text{4} \)] can be considered as a mimicking model of trinucleoside diphosphate (Fig. 1). The comparative pH-dependent conformational analyses has been performed to shed light on how the strength of intramolecular stereoelectronic effects is modulated by the change of protonation \( \leftrightarrow \) deprotonation equilibrium of
the aglycone in absence of any intramolecular base-base stacking. It shows a complete interdependency of conformational preference of sugar and phosphate backbone as the protonation $\leftrightarrow$ deprotonation equilibrium of the aglycone changes as a function of pH.

In oligonucleotides the protonation and/or methylation of nucleobase directly affects their hydrogen-bonding capabilities and therefore induces change in overall three-dimensional structure\textsuperscript{3a,10}. In this study we have shown that changing the electronic character of aglycone through protonation at basic site (i.e. N7 of guanin-9-yl, N1 of adenin-9-yl and N3 of cytosin-1-yl) not only modulates the shift of N $\leftrightarrow$ S pseudorotational equilibrium of their constitute sugar by strengthening the anomeric effect but is also transmitted to the sugar-phosphate backbone to influence the conformation of backbone in 1–3. This tunable transmission, compared to the abasic counterpart [Etp(ab)pMe, 4], is found to be much stronger at the 3′-phosphate compared to 5′-end.

The N $\leftrightarrow$ S pseudorotational equilibria in 1 and 2 are gradually shifted towards N-type pseudorotamers \[79\% \text{ S (for 1) and 76\% S (for 2)}\] in neutral state to 55\% S (for 1) and 67\% S (for 2) in protonated state\textsuperscript{2n,o} as reflected from change of $\Delta G^0$ (at 298 K) from −3.3 kJ mol\textsuperscript{−1} (for 1) and −2.8 kJ mol\textsuperscript{−1} (for 2) in neutral state to −0.1 kJ mol\textsuperscript{−1} (for 1) and −1.7 kJ mol\textsuperscript{−1} (for 2) in protonated state (Fig. 4B).

**Fig. 4**

Panel (A) shows the plots of H8 chemical shift of the constituent guanine-9-yl nucleobase ($\delta$H8G in ppm) in 1 as well as H8 and H2 chemical shift of the constituent adenin-9-yl nucleobase ($\delta$H8A and $\delta$H2A, respectively, in ppm) in 2, as a function of pD at 298 K. Panels (B) and (C) show the plots of the experimental $\Delta G^0$ (in kJ mol\textsuperscript{−1}) of the N $\leftrightarrow$ S equilibrium\textsuperscript{2,3,6} of the constituent pentofuranose moiety and that of $\varepsilon$ $\leftrightarrow$ $\varepsilon$ equilibrium of the 3′-ethylphosphate group in 1 and 2, respectively, as a function of pD at 298 K. The following pK\textsubscript{a} values have been obtained: 2.4 ± 0.1 (for $\delta$H8 in 1), 4.0 ± 0.1 and 3.9 ± 0.02 (for $\delta$H8 and $\delta$H2, respectively, in 2) in Panel (A); 2.4 ± 0.1 (for 1) and 3.8 ± 0.2 (for 2) in Panel (B); 2.3 ± 0.04 (for 1) and 3.7 ± 0.2 (for 2) in Panel (C).
The conformational bias across C3′-O3′ bond (ε torsion, C4′-C3′-O3′-P) is interpreted in terms of two-state ε^{+}ε^{-} equilibrium as analysed by 1H NMR. The population of ε^{-} (at 298 K) decreases from 69% (for 1) and 68% (for 2) in neutral state to 48% (for 1) and 65% (for 2) in protonated state. The corresponding shift of ΔG₀ of ε^{+}ε^{-} equilibrium is from -2.1 kJ mol⁻¹ (for 1) and -1.9 kJ mol⁻¹ (for 2) in neutral state to +0.3 kJ mol⁻¹ (for 1) and -1.5 kJ mol⁻¹ (for 2) in protonated state. Interestingly, the sigmoidal plot of pD-dependent ΔG₀ values for the N ⇄ S as well as ε^{+}ε^{-} equilibria in 1 and 2 (Fig. 4B) has almost identical pKₐ to that of constituent guanine-9-yl and adenine-9-yl nucleobase respectively, as determined independently from the plot of pD-dependent H₈ and H₂ chemical shift (Fig. 4A). Thus, the pD-dependent reorientation of the 3′-ethylphosphate moiety across the C3′-O3′ bond is directly dictated by the pKₐ of the constituent aglycone in 1 and 2.

The cooperative shift of the (N,ε^{+}) ⇄ (S,ε⁻) equilibrium as the result of protonation of aglycone in 1 and 2 is evidenced by the straight line obtained from the plot of pD-dependent ΔG₀(N/S) as a function of pD-dependent ΔG₀(ε^{+}/ε⁻) (Fig. 5A) as well as from plot of ΔG₀(ε^{+}/ε⁻) and ΔG₀(N/S) as a function of δH₈ and δ₃¹P, respectively (Figs 5B and 5C).

This shows that as the constituent guanine-9-yl nucleobase is gradually protonated in the acidic medium, the modulation of the strength of the AE shifts the N ⇄ S equilibrium toward N-type conformer, which in turn dynamically shifts the ε^{+}ε⁻ equilibrium toward more ε^{+} in comparison with

**Fig. 5**

Panel (A) shows the plot of ΔG₀ (in kJ mol⁻¹) of the N ⇄ S pseudorotational equilibrium as a function of the ΔG₀ (in kJ mol⁻¹) of ε^{+}ε⁻ equilibrium of the 3′-ethylphosphate group at 298 K for 1 and 2; R = 0.98 (for 1) and 0.98 (for 2). Panel (B) shows the plot of ΔG₀ (in kJ mol⁻¹) of the ε^{+}ε⁻ equilibrium as a function of the chemical shift of the constituent guanine-9-yl nucleobase (δH₈G in ppm) in 1 as well as H₈ and H₂ chemical shift of the constituent adeny-9-yl nucleobase (δH₈A and δH₂A respectively in ppm) in 2 at 298 K; R = 0.99 (for 1), 0.94 and 0.97 (for δH₈A and δH₂A respectively in 2). Panel (C) shows the plot of ΔG₀ (in kJ mol⁻¹) of the N ⇄ S pseudorotational equilibrium as a function of the phosphorous chemical shift (δ₃¹P in ppm) for 1 and 2 at 298 K; R = 0.97 (for 1) and 0.96 (for 2).
the neutral state. It is noteworthy that the proof of this transmission of electronic information in 1 and 2 (i.e. from nucleobase to phosphate through sugar) is evident by the fact that the pK\textsubscript{a} values of the corresponding aglycones [2.4 for guanin-9-y1 and 3.9 for adenin-9-y1 in 1 and 2, respectively] are the same as the β-D-ddN, β-D-dN and β-D-rN within the error limits of our experimental.

As a control experiment, the difference in $3^J_{HH}$, $3^J_{HP}$ and $3^J_{CP}$ coupling constant values between neutral and acidic pDs at 298 K was found to be negligible in apurinic phosphodiester 4, showing that owing to the absence of aglycone, the N ⇔ S equilibrium as well as conformation across C3′-O3′ remain unbiased at all pDs compared to 1 and 2.

This result constitutes the first experimental evidence for transmission of electronic information to steer the conformation of the 3′-phosphate moiety as a result of change of electronic properties of the nucleobase (protonation/deprotonation) via the tuning of the sugar conformation in a nucleoside 3′,5′-bisphosphate.

However, this aglycone dependent conformational transmission of sugar-phosphate backbone via pentofuranose depends upon the tunability of aglycone vis-à-vis conformational modulation of sugar geometry. Our control studies with EtpCpMe (3) at neutral and protonated state showed that the relative conformational tunability is in order EtpGpMe (1) > EtpApMe (2) > EtpCpMe (3) (Fig. 6).

---

**Fig. 6**
The relative conformational tunability of EtpGpMe (1), EtpApMe (2) and EtpCpMe (3) between neutral (N) and protonated (P) state at 298 K. Panel (A) shows the relative change of chemical shift of aromatic protons [$\Delta\Delta\delta_{(P-N)}$] in 1-3; Panel (B) and (C) show the relative change of free energy of the N ⇔ S pseudorotational equilibrium [$\Delta\Delta G^0_{(P-N)}$ (N/S), in kJ mol$^{-1}$] and that of ε$^c$=$\epsilon^e$ equilibrium [$\Delta\Delta G^0_{(P-N)}$ (ε$^c$=ε), in kJ mol$^{-1}$] in 1-3. The relative order of tunability is 1 > 2 > 3.
2. The Substitution of a Pentose-Sugar Moiety of the 2′-Deoxyadenosine Residue by a Carbocyclic Analogue, as in 2′-Deoxyaristeromycin, in a Self-Complementary 12mer Results in Two Dynamically Interconverting Hoogsteen Type and Watson–Crick Basepaired Duplexes in Dynamic Equilibrium, Compared to the Native Duplex, which Entirely Adopts a Typical B-Type DNA Conformation

In order to understand the structural significance of endocyclic O4′ in the pentofuranose moiety, we have prepared an analog of a Dickerson–Drew dodecamer, 5′-d(C5G6G7A8T9T10G11G12)2-3′ (duplex 1), in which the pentose-sugar moiety of the 2′-deoxyadenosine residue A6 (Fig. 7A) has been replaced by a carbocyclic analogue, 2′-deoxyaristeromycin, A6 (Fig. 7B). The result of the solution conformation studies of duplex 1 by NMR showed that it consisted of two dynamically interconverting duplexes, (1A) ⇆ (1B). Upon comparison with the native duplex (2), it was found that duplex (1A) has a conformation that is identical to the native (2)12,13. On the other hand, in the (1B) form, the basepairs formed between A6 and T7 at the center of the duplex are of Hoogsteen type (Fig. 8B), while the rest of the duplex has Watson–Crick basepairing (Fig. 8A), adopting a typical B-type conformation12,13. The big changes in the chemical shifts observed for the central A6 and T7 residues (as well as for the neighbouring A5 and T8) in duplex (1B) indicated that there is a major change in base stacking at the centre of the duplex, which could not explained by a standard Watson–Crick basepaired B-type DNA duplex. That this is indeed owing to Hoogsteen basepairing is evident from the following observations: (i) Duplex (1B) had NOESY crosspeaks between H3T7 and H8A6, H3T7 and H1′A6 and between H3T8 and H8A6, which is consistent with what is expected from Hoogsteen basepairing (Fig. 8B), as found in the earlier base triple

![Diagram of 2′-deoxyadenosine (A) and 2′-deoxyaristeromycin (B)](image-url)

*Fig. 7*

The structures of 2′-deoxyadenosine (A) and 2′-deoxyaristeromycin (B)
DNA\textsuperscript{14}. (ii) The H8–H1' crosspeak of A6 assigned to duplex (1B), had a much quicker NOE buildup, with a 6–7 fold larger intensity at ca 70 ms mixing time (Fig. 8C) than the corresponding crosspeak in the fully Watson–Crick basepaired duplex (1A), which suggests a syn conformation for the former. (iii) The observed NOE crosspeak between H8A5 to H2A6, together with the interruption in the aromatic–aromatic connectivity between A5 and A6, indicates syn conformation (see above). (iv) Finally, we observed temperature-dependent exchange peaks in ROESY and NOESY spectra between the resonances belonging to duplexes (1A) and (1B), showing that the two conformers exist in a dynamic interconverting equilibrium.

Thus, duplex (1) exists in two dynamically interconverting conformations: a fully Watson–Crick basepaired DNA duplex (1A) and a doubly A6:T7 Hoogsteen basepaired (1B) B-type DNA duplex. The thermodynamics of the equilibrium of (1A) \( \equiv (1B) \): \( K_{eq} = k_1/k_{-1} = 0.56 \pm 0.08 \). The dynamic conversion of the fully Watson–Crick basepaired (1A) to the partly Hoogsteen basepaired (1B) structure is marginally kinetically and thermodynamically disfavoured \( [k_1 (298 \text{ K}) = 3.9 \pm 0.8 \text{ s}^{-1}; \Delta H^{0\text{f}} = 164 \pm 14 \text{ kJ mol}^{-1}; -T\Delta S^{0\text{f}} (298 \text{ K}) = -92 \text{ kJ mol}^{-1} \) giving a \( \Delta G^{0\text{f}} \) of 72 \( \text{kJ mol}^{-1} \). \( E_a (k_1) = 167 \pm 14 \text{ kJ mol}^{-1} \).
kj mol⁻¹] compared to the reverse conversion of the Hoogsteen (1B) to the Watson–Crick (1A) structure [k₋₁ (298 K) = 7.0 ± 0.6 s⁻¹, ΔH°‡ = 153 ± 13 kJ mol⁻¹; -TΔS°‡ (298 K) = -82 kJ mol⁻¹ giving a ΔG°‡ of 71 kJ mol⁻¹. E_a (k₋₁) = 155 ± 13 kJ mol⁻¹]. A comparison of ΔG°‡ of the forward (k₁) and backward (k₋₁) conversions, (1A) ⇄ (1B), shows that there is ca 1 kJ mol⁻¹ preference for the Watson–Crick (1A) over the double Hoogsteen basepaired (1B) DNA duplex.

A small change in one sugar residue (the O4′ oxygen replaced by CH₂) has a dramatic effect on the local structure and flexibility of a B-type DNA duplex¹¹. The duplex is in an equilibrium between a typical fully Watson–Crick base paired B-DNA like structure and a structure in which the two central basepairs (A₆-T⁷/T⁷-A₆) are Hoogsteen base paired, while all others are Watson–Crick base paired in a B-DNA like structure. However, this change does not seem to induce any large changes in the stability of the duplex as characterised by its melting temperature and thermodynamics. The main effect of the Hoogsteen base pairs on the overall structure is ~2–3 Å narrowing of the minor groove and a corresponding widening of the major groove (Fig. 9). To form a Hoogsteen basepair the Z′-deoxyadenosine moiety must change from anti to syn conformation: The replacement of the endocyclic 4′-oxygen in the natural pentose sugar with a methylene group makes the stereoelectronic anomeric and gauche effects in the resulting

Fig. 9
(A) Superimposition of 8 representative Watson–Crick base paired duplex structures after refinement (1A) [RMSD = 0.33 Å]. (B) Superimposition of 8 representative Hoogsteen base paired duplex structures after refinement (1B) [RMSD = 0.38 Å]. (C) Zooms of the two central basepairs
carbocyclic ring disappear, thereby making it more flexible. Additionally, the steric clash between the 4′-oxygen and the aglycone present in the O4′-endo conformation in the natural pentofuranose ring is not present in the carbocyclic counterpart, thereby making the syn/anti conformational transition more facile in the latter, promoting the Hoogsteen basepairing. It is interesting to note that only very few changes, mainly in α, δ and γ torsions, in the sugar-phosphate backbone seem to be necessary to accommodate the Hoogsteen basepairing.

It has recently been shown that a single 2′-deoxyaristeromycin (A) substitution does not significantly alter the structure of an asymmetric DNA duplex. In our self-complementary and symmetric DNA duplex, this situation has however changed dramatically: The local structure at the center of a palindromic DNA sequence changes because the two A substitutions on the opposite strands are brought into close proximity of each other, thereby giving rise to an increased flexibility at the center which seems to be necessary for the bases to flip over from anti to syn and form the Hoogsteen basepairs. Further, the existence of the Hoogsteen basepaired conformer shows that this is not simply owing to the intrinsic flexibility of the duplex; this is albeit the result of local structural changes orchestrated by the primary sequence as well as by the site of the modified A residue in our duplex, prompting the helix to be stabilised by syn A/anti T basepairing. This is also supported by the fact that the bases of the two modified A residues always co-flip to give either two syn or two anti A6-T7 basepairing. As a result, we see a dynamic equilibrium of ca 1:1 mixture of Hoogsteen and Watson–Crick basepaired duplexes. It is noteworthy that there is no detectable presence of any species where the base of the A6 residue of one strand is in syn conformation and the one of the opposite strand is in anti.

In an experiment, where 2′-deoxyaristeromycin has been incorporated in both residue A5 and A6 in the same duplex (data not shown), as many as 4 major substructures can be observed in NMR under the same conditions. The above data shows that (i) there is very little energetic preference for the pentofuranose-based DNA compared to the carbocyclic counterpart; (ii) the free-energies for forming the Watson–Crick and the Hoogsteen basepaired duplexes are very similar because there is very little energetic discrimination for the carbocyclic vis-à-vis pentofuranose world, and (iii) this shows the limitations of 2′-deoxyaristeromycin-incorporation in DNA duplexes in order to increase nuclease stability as we can see how the structure of the DNA duplex starts to get disordered as the number of modified residues close to each other increases, (iv) the natural preference for the pentofuranose based nucleic acids over carbocyclic counterparts is presum-
ably based on controlling the DNA polymorphism in a punctuated manner, dictated by a relatively higher energy barrier in the former (2–4 kJ mol⁻¹) compared to the latter.

3. The Sensitivity of the RNase H Discriminates the Local Structure Changes Owing to Conformational Transmission Induced by N-type or N/E-Type Sugar Constrained Nucleotides in the Antisense Strand of the Antisense-RNA Hybrid Duplex

RNase H, an ubiquitous enzyme, cleaves the RNA in a DNA/RNA hybrid. Based on the dissociation constant determined by competitive inhibition analysis, the RNase H can bind to all duplexes, irrespective of their conformational preorganization [DNA/RNA > RNA/RNA > DNA/DNA]. The catalytic cleavage by the enzyme however demands a flexible hybrid duplex structure whose overall geometry should be close to an A type helix. For example, in the native DNA-RNA duplex, the conformation of the DNA strand is B type (all nucleotides are in O4'-endo conformation) whereas the RNA strand adopts a conformation which is very similar to single stranded A-RNA type (all nucleotides are in C3'-endo conformation). It has emerged that the RNase H cleavage site retains this B-type-DNA/A-type RNA conformation in order to be substrate for cleavage reaction by RNase H. This conformational criterion has been so far difficult to achieve with the modified residues in the antisense strand at the cleavage site. We have thus witnessed the emergence of the gapmer or the mixmer strategies, which not only allows the cleavage of the complementary RNA strand, but also enhances the thermodynamic stability of the hybrid duplex. The tolerance of the modified nucleotide residues in the antisense strand in the above two strategies are very sensitive to the type of modifications used, both because of conformational pre-organization and the intrinsic flexibility required of the hybrid duplex for catalytic cleavage by RNase H.

Most of the North conformationally constrained nucleosides upon introduction (full modification or partial modification) to Antisense Oligo-Nucleotide (AON) render their hybrid duplex with RNA (AON/RNA) to a rigid RNA/RNA type duplex, which were found to be insensitive towards the RNase H promoted cleavage, although the stability of the resulting hybrid duplexes are enhanced. The reason for this RNase H insensitivity of the AON/RNA hybrid duplex containing conformationally-constrained nucleotides, both in the gapmer as well as in the mixmer strategies, is beginning to be understood. Remarkably, some conformational alterations brought about by the structural modifications are transmitted to steer the
conformation of the neighboring nucleotides, which alter the microscopic structure of the hybrid, and is indeed sensed by RNase H, but insensitive to spectroscopic techniques such as NMR or CD\textsuperscript{20}. A slow consensus is however emerging as to how far the rigidity of the N- or N/E-type conformationally constrained nucleotides in the AON can propagate to alter the conformational characters of the neighboring S-type residues in the hybrid duplex. This information is important because the alteration of S-type character in the neighbors to an N-type or [North-East (N/E)-type] pseudorotamer forces those nucleotides in the AON/RNA duplex to adopt a pseudo RNA/RNA type conformation, which is not recognized for cleavage by RNase H. Our latest result however show that this pseudo RNA/RNA type conformational patches in the hybrid duplex is however recognized by RNase H for binding. The uniqueness of RNase H promoted cleavage of AON/RNA hybrids holding varying number of conformationally constrained North-East (N/E)-type nucleotides can be employed to address these issues which we have shown recently with the oxetane incorporated nucleotides in the antisense-RNA hybrid duplex\textsuperscript{20}.

We have introduced single, double, triple N/E conformationally constrained nucleoside, [1-(1′,3′-O-anhydro-β-D-psico-furnosyl)thymine] (T), to a 15 mer AON and targeted to a 15 mer RNA. CD failed to detect any structural perturbances of the modified hybrids compared to the native counterpart; however, the RNase H cleavage pattern of these hybrid duplexes clearly showed the changes local conformation spanning a total of 5 nucleotides including the modification towards the 5′-end of AON (3′-end of RNA). This was evident from the fact that the 5-nucleotide region of the RNA strand, beginning from the nucleotide opposite to the T modification, became completely inactive to the catalytic cleavage reaction by RNase H\textsuperscript{20}. Since the sites just after the 5 nucleotides were accessible for enzymatic cleavage (Fig. 10), and the fact that the binding and cleavage sites are different for RNase H\textsuperscript{21}, it is evident that the structurally altered duplex region was suitable for the enzyme binding but not for cleavage. By suitably placing the just three T modifications we have shown that the single cleavage site in the RNA strand can be successfully engineered in the 15mer AON/RNA hybrid duplex. (Fig. 10) without loosing any overall cleavage activity. This work clearly shows that introduction of the N- and/or N/E-type conformational constraint at the oligonucleotide level leads to micro-environmental conformational alterations in the neighboring 4 nucleotides toward the 5′-end of the AON strand, which alters the conformation of the duplex to pseudoRNA/RNA type hybrid duplex. This conformational transmission can be effectively mapped by RNase H, when CD and NMR fails to
FIG. 10

(A) The PAGE analysis of RNase H hydrolysis of the hybrid duplexes, AON (1)–(6)/RNA. Time after the addition of the enzyme is shown on the top of each gel lane. The length and sequence of 5'-32P-labeled RNAs formed upon enzymatic cleavage are shown on the left and right side of the gel and it was deduced by comparing the migration of products with those oligonucleotides generated by partial digestion of the target RNA by snake venom phosphodiesterase (SVPDE). (B) RNase H cleavage pattern of the hybrid duplexes. Long and short arrows represent major and minor sites respectively (after 2 h of incubation). Boxes represent the parts of the RNA sequence insensitive towards RNase H cleavage.
show any conformational heterogeneity in the local structure. Thus this constitutes an effective means to map the local conformation of the heteroduplex by RNase H.

There is only limited information available regarding the tolerance of RNase H towards local conformational transmission of AONs holding other conformationally constrained nucleotides. A recent report\textsuperscript{22} however outlined the RNase H tolerance of AON/RNA hybrids modified with North-conformationally constrained LNA monomers. Introduction of one LNA itself was found to alter global helical structure\textsuperscript{23} (detected by CD) and three LNA monomers were sufficient to create a RNA/RNA type AON/RNA hybrid duplex. None of these modifications are however reported to elicit any RNase H response, although considerable enhancement in the thermodynamic stability was observed. Mixmer AONs with 3-5 deoxynucleotide gaps were found to be also insensitive towards RNase H promoted cleavage once hybridized to RNA\textsuperscript{22}. This clearly showed that microstructural alterations brought by the LNA modification propagates beyond 5 nucleotides stretch, in contradistinction to our oxetane modifications\textsuperscript{20}.

The question to be addressed is how far down the polynucleotide chain the conformational transmission propagates in the LNA-modified AON/RNA hybrid duplex\textsuperscript{20}. Clearly, an appropriate answer should open the door for the use of the LNAs both as $T_m$ enhancer as well as a substrate for RNase H. This has now been achieved through a systematic study with a series of LNA incorporated AON-RNA duplexes in which the size of the deoxynucleotide gap (required for RNase H cleavage) in the mixmer as well as in the gapmer was varied\textsuperscript{22}. It was observed that the gapmer/mixmer of LNA/RNA duplexes having 6 to 10 deoxynucleotide gaps were cleaved by the enzyme. Quite expectedly\textsuperscript{20}, it was also found that the maximum cleavage efficiency was observed as the size of the deoxynucleotide gap was increased to 10, whereas the cleavage was observed even when the gap contains 6 nt stretch, presumably because of adaptation of substantial degree of DNA/RNA type structure\textsuperscript{22}. This shows that the conformational transmission of LNA stretches up to 7 nucleotides (including the modification) to make it conform to RNA/RNA type structure, which is resistant to RNase H, whereas for oxetane modifications, we have shown it stretches up to 5 nucleotides\textsuperscript{20}.

The third case of conformational transmission can be found in case of AONs containing 2′-O-methyl nucleosides. 2′-O-Methyl nucleosides ($^3_{12}$ = 5.2 Hz, $^3_{34'}$ = 4.7 Hz) show a slightly preferred N-type conformation in the North-South pseudorotational equilibrium. This slight preference for N-type conformation is attributed to the steric repulsion between the aglycone and the 2′-O-methyl group in the C2′-endo (South form)\textsuperscript{24}. In the
AON, there would be additional steric clash of 2′-O-alkyl group with the 3′-phosphate, which drives it to more preferred North conformation. Therefore, unlike the other North-constrained nucleotides (LNA, oxetane...), the conformational influence by 2′-O-alkyl nucleotides, which can be detected by RNase H, to the neighbours are less pronounced, and it was found to be sequence-dependent\textsuperscript{25}. This is clearly visible in the RNase H digestion pattern of 2′-O-alkyl modified AON/RNA gapmer hybrids, where the micro-structural alterations brought by the 2′-O-methylnucleoside modification which blocks the cleavage was found to vary from 3 to 5 nucleotides including the modification\textsuperscript{25}. This means that the conformational transmission of the North-type 2′-O-alkylnucleotides in the AON/RNA/RNase H ternary complex is less pronounced than in other conformationally constrained AON containing counterparts.

Generous financial support from the Swedish Natural Science Research Council (Vetenskapsrådet), the Stiftelsen för Strategisk Forskning, and Philip Morris Inc is gratefully acknowledged.

REFERENCES AND NOTES


